

ARKANSAS STATE BANK DEPARTMENT

FINANCIAL SUBSIDIARY NOTICE

1. **Certification to Form a Financial Subsidiary**

For prior qualification to form a bank financial subsidiary, a state bank should complete this certification form and submit it to the State Bank Department. The form certifies that the bank meets the qualification to form a financial subsidiary.

2. **Filing Notice as a Financial Subsidiary**

In order to provide notice that a state bank is actually establishing a financial subsidiary, a state bank should complete this notice form and submit it to the State Bank Department. The bank may have previously submitted the Financial Subsidiary Certification form, or the bank may submit both forms at one time.

ARKANSAS STATE BANK DEPARTMENT

FINANCIAL SUBSIDIARY NOTICE

_____ hereby certifies to the Arkansas State Bank
(Bank, City, State)
Department that _____ and each of its affiliates, (List Affiliates, City, State), are
(Bank)
well-capitalized and well managed institutions as required for purposes of forming a Financial
Subsidiary as authorized by 12 U.S.C. 93a and Section 121 of the Financial Services
Modernization Act of 1999, Pub. L. No. 106-102, 113 Stat. 1338, 1373, and a resolution by the
Arkansas State Bank Commissioner dated May 3, 2000.

Signed this ____ day of _____, 20 ____.

(Typed name – President or Chief Executive Officer)

Title

Signature

ARKANSAS STATE BANK DEPARTMENT

FINANCIAL SUBSIDIARY NOTICE

_____ hereby certifies to the Arkansas State Bank
(Bank, City, State)
Department that _____ has previously filed a Certification that it meets the
(Bank)
requirements to form a Financial Subsidiary. _____ intends to establish a
(Bank)
Financial Subsidiary to be called _____ located at _____
(Subsidiary name) (Location)
to engage in the business or activity of _____.
(Activity to be performed)

_____ certifies that its prior certification remains valid.
(Bank)

Signed this _____ day of _____, 20_____.

(Typed name – President or Chief Executive Officer)

Title

Signature