***Bank Name:* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

***Charter #:* \_\_\_\_\_\_\_\_\_\_**

|  |
| --- |
| **Information Technology Risk Examination (InTREx)**  **Products and Services Template** |

Please fill in **ALL** areas. If application is not utilized place an “n/a” in space provided. If remote access to a system is granted please fill-in as appropriate: **“E” for employee**; **“V” for vendor**; or **“B” for both employee and vendor**. The method of remote access should be described within the appropriate section below. Contact the Examiner-in-Charge with any questions regarding completion of this form.

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  | | **In-House** | | | **Outsourced** | | **Remote Access**  **to System** |
| **Vendor Name**  **City, State** | **Software Application/ Version #** | **Hardware Make and O/S** | **Servicer Name**  **City, State** | **Software Application/ Version #** |  |
| **Core Processing** | |  |  |  |  |  |  |
|  | |  |  |  |  |  |  |
| **Deposits** | |  |  |  |  |  |  |
| Demand | |  |  |  |  |  |  |
| Savings & Time | |  |  |  |  |  |  |
|  | |  |  |  |  |  |  |
| **Loans** | |  |  |  |  |  |  |
| Commercial | |  |  |  |  |  |  |
| Mortgage | |  |  |  |  |  |  |
| Consumer/Installment | |  |  |  |  |  |  |
| Credit Cards | |  |  |  |  |  |  |
| Other | |  |  |  |  |  |  |
|  | |  |  |  |  |  |  |
| **Investments** | |  |  |  |  |  |  |
|  | |  |  |  |  |  |  |
| **General Ledger** | |  |  |  |  |  |  |
|  | |  |  |  |  |  |  |
| **Customer Information File (CIF)** | |  |  |  |  |  |  |
|  | |  |  |  |  |  |  |
| **Trust** | |  |  |  |  |  |  |
|  | |  |  |  |  |  |  |
| **Retail EFT** | |  |  |  |  |  |  |
| ACH | |  |  |  |  |  |  |
| Wire System 1 | |  |  |  |  |  |  |
| Wire System 2 | |  |  |  |  |  |  |
| ATM  (Network/Driver) | |  |  |  |  |  |  |
|  | |  |  |  |  |  |  |
| **Credit Card** | |  |  |  |  |  |  |
| **Merchant Services** | |  |  |  |  |  |  |
| **Information Technology Risk Examination (InTREx)**  **Products and Services Template** | | | | | | | |

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|  | **In-House** | | | **Outsourced** | | **Remote Access**  **to System** |
| **Vendor Name**  **City, State** | **Software Application/ Version #** | **Hardware Make and O/S** | **Servicer Name**  **City, State** | **Software Application/ Version #** |  |
| **Item Processing** |  |  |  |  |  |  |
| Branch Capture |  |  |  |  |  |  |
| Merchant Remote Deposit  Capture |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
| **Imaging** |  |  |  |  |  |  |
| Checks |  |  |  |  |  |  |
| Document |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
| **Asset Liability/Management** |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
| **Internet/E-Banking:** |  |  |  |  |  |  |
| Web Site Address/URL: *http*: |  |  |  |  |  |  |
| Informational Web Site (Web  server) |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
| **Transactional Internet Banking** |  |  |  |  |  |  |
| Consumer/Retail |  |  |  |  |  |  |
| Bill Payment |  |  |  |  |  |  |
| On-line Credit Applications |  |  |  |  |  |  |
| On-line Deposit Applications |  |  |  |  |  |  |
| Commercial (Cash  management, ACH, Wire) |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
| **Telephone Banking** |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
| **Mobile Banking** |  |  |  |  |  |  |
| **Mobile Capture** |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
| **Person-to-Person Payments** |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
| **Other Internet or E-banking Products** |  |  |  |  |  |  |

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| **Information Technology Risk Examination (InTREx)**  **Products and Services Template** |

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|  | **In-House** | | | **Outsourced** | | **Remote Access to System** |
| **Vendor Name**  **City, State** | **Software Application/ Version #** | **Hardware Make and Model** | **Servicer Name**  **City, State** | **Software Application/ Version #** |
| **Network(s):** |  |  |  |  |  |  |
| Operating System(s): |  |  |  |  |  |  |
| Virtualization |  |  |  |  |  |  |
| Hardware/Software Inventory Management |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
| **Network security:** |  |  |  |  |  |  |
| Firewalls |  |  |  |  |  |  |
| Intrusion Detection /  Prevention |  |  |  |  |  |  |
| Email Filtering |  |  |  |  |  |  |
| Web Filtering |  |  |  |  |  |  |
| Data Loss Prevention |  |  |  |  |  |  |
| Anti-Virus |  |  |  |  |  |  |
| Anti-Malware |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
| **Data Circuit/Branch Communication** |  |  |  |  |  |  |

***Signature*: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

***Date:* ­\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**