

ARKANSAS STATE BANK DEPARTMENT

FINANCIAL SUBSIDIARY INSTRUCTIONS

1. Certification to Form a Financial Subsidiary

For prior qualification to form a bank financial subsidiary, a state bank should complete this certification form and submit it to the State Bank Department. The form certifies that the bank meets the qualification to form a financial subsidiary.

2. Filing Notice as a Financial Subsidiary

In order to provide notice that a state bank is actually establishing a financial subsidiary, a state bank should complete this notice form and submit it to the State Bank Department. The bank may have previously submitted the Financial Subsidiary Certification form, or the bank may submit both forms at one time.

ARKANSAS STATE BANK DEPARTMENT

FINANCIAL SUBSIDIARY CERTIFICATION

_____ *(bank, city, state)* hereby certifies to the Arkansas State Bank Department that _____ *(bank)* and each of its affiliates, *(list affiliates, city, state)*, are well-capitalized and well managed institutions as required for purposes of forming a financial subsidiary as authorized by 12 U.S.C. 93a and section 121 of Public law 106-102, 113 Stat. 1338, 1373 and a resolution by the Arkansas State Bank Commissioner dated May 3, 2000.

Signed this _____ *(day)* day of _____ *(month)* _____ *(year)* .

(typed name)
President *(or Chief Executive Officer)*

ARKANSAS STATE BANK DEPARTMENT

FINANCIAL SUBSIDIARY NOTICE

_____ (*bank, city, state*) hereby certifies to the Arkansas State Bank Department that _____ (*bank*) has previously filed a Certification that it meets the requirements to form a Financial Subsidiary. _____ (*bank*) intends to establish a Financial Subsidiary to be called _____ (*subsidiary name*) located at _____ (*location*) to engage in the business or activity of _____ (*activity to be performed*).

_____ (*bank*) certifies that its prior certification remains valid.

Signed this _____ (*day*) day of _____ (*month*) _____ (*year*).

(*typed name*)
President (*or Chief Executive Officer*)